IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Roman C. Gutierrez and Tony K. Tang

Assignee: SiWave, Inc.

Title: Snap-Down Pivoting Optical Element

Serial No.: Unassigned Filing Date: Herewith

Examiner: Unknown Group Art Unit: Unknown

Docket No.: M-9919-1D US

Irvine, California September 12, 2003

Mail Stop Patent Application COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Prior to the examination of the above application, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel claims 1-16.

Please enter the following new claims:

--17. (new) A method, comprising:

providing:

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a baseplate forming a first platform, wherein the perimeter of the first

platform forms a polygon having a plurality of linear segments, wherein the plurality is of at

-1-

least six;

icast six,

Serial No. Unassigned